

Professional Investor Declaration - Section 708(11) and Section 761G of the Corporations Act 2001 (Cth)

I, _____, declare and undertake to Canaccord Genuity Financial Limited ('Canaccord Genuity') that I am a professional investor, as defined in section 9 of the Corporations Act, for the reasons I have chosen below:

I am an Australian Financial Services Licensee ("AFSL"). AFSL number _____
Please provide a copy of your current AFSL or a copy of the Licensee page from ASIC's website.

I am a body regulated by the Australian Prudential Regulation Authority ("APRA"), other than a trustee of any of the following (within the meaning of the Superannuation Industry (Supervision) Act 1993 (Cth)):

- (i) A superannuation fund;
- (ii) An approved deposit fund;
- (iii) A pooled superannuation trust; or
- (iv) A public sector superannuation scheme.

Please provide evidence of your APRA registration or a copy of the approval from APRA's website.

I am a body registered under the Financial Corporations Act 1974 (Cth).
Please provide evidence of your APRA registration or a copy of the approval from APRA's website.

I am a trustee of:

- (i) A superannuation fund;
- (ii) An approved deposit fund;
- (iii) A pooled superannuation trust; or
- (iv) A public sector superannuation scheme.

Within the meaning of the Superannuation Industry (Supervision) Act 1993 (Cth) AND the fund, trust or scheme has net assets of at least \$10 million.

Please provide a copy of a relevant up-to-date Statement of Financial Position.

I have or control gross assets of at least \$10 million (including any assets held by an associate or under a trust that I manage).

Please provide a copy of a relevant up-to-date Statement of Financial Position.

I am a listed entity or a Related Body Corporate of a listed entity.

Please provide a copy of a print out from the ASX website. For a related body corporate, please provide an ASIC search or similar demonstrating the shareholding of the listed entity.

I am an exempt public authority.

Refer to Canaccord Genuity Financial Limited Compliance for documentation requirements.

I am a body corporate, or an unincorporated body, that:

- (i) Carries on business of investment in financial products, interests in land or other investments; AND
- (ii) For those purposes, invests funds received (directly or indirectly) following an offer or invitation to the public, within the meaning of section 82 of the Corporations Act, the terms of which provided for the funds subscribed to be invested for those purposes.

Please attach a copy of the Product Disclosure Statement.

I am a foreign entity that, if established or incorporated in Australia, would be covered by one of the preceding requirements.

Please provide evidence issued by a foreign government body that is equivalent to one of the above, translated by an accredited translator into English.

I will immediately notify Canaccord Genuity if I cease to meet the investor standards outlined above. I accept that Canaccord Genuity will make its determination in respect to my non-retail client status at its absolute discretion, and that Canaccord Genuity may request formally certified copies of the documents provided if it considers it necessary.

I understand and accept that I will be treated as a non-retail client and that I will not be covered by the retail protection provisions of the Corporations Act 2001.

I understand that when receiving an offer of financial products or services from Canaccord Genuity, I will not be sent retail client disclosure documents such as a Financial Services Guide, Product Disclosure Statement, Prospectus or Statement of Advice and I am capable of making an investment decision without these documents or statements.

I understand that Canaccord Genuity does not guarantee the availability of any securities or financial products to me.

Please apply this to the following accounts at Canaccord Genuity:

Signature(s)

Account Number(s)

Account Name(s)

Signature of Client

Signature

Print Name

Date Signed

SIGN HERE